

ADA047049

Center for Materials Research  
Stanford University  
Stanford, California

12  
B.S.

6 GENERATION OF COHERENT VUV AND SOFT X-RAYS.

9 Semiannual Report No. 4  
1 January 1977 - 30 June 1977

Principal Investigators:

10 S. E. / Harris  
J. F. / Young  
(415) 497-0224

Sponsored by  
Advanced Research Projects Agency  
ARPA Order 10-2782

15 Contract N00014-75-C-1175  
Program Code Number 4D10

Contract Period: 1 July 1975 - 30 September 1977  
Amount of Contract: \$337,500.00  
Form Approved, Budget Bureau - No. 22R0293

Scientific Officer:

Director, Physics Program  
Physical Sciences Division  
Office of Naval Research  
Department of the Navy  
800 North Quincy Street  
Arlington, Virginia 22217

14 CMR-77-3  
GL-2718

AD No. \_\_\_\_\_  
DDC FILE COPY

C.M.R. Report No. 77-7

G.L. Report No. 2718

11 July 1977 12 22p.

DDC  
REF ID: A651477  
NOV 28 1977  
DISTRIBUTION UNLIMITED  
1B

400 827

APPROVED FOR PUBLIC RELEASE; DISTRIBUTION UNLIMITED

**PERSONNEL**

**S. E. Harris**

**Professor**

**J. F. Young**

**Adjunct Professor**

**K. S. Hsu**

**Research Assistant**

**L. J. Zych**

**Research Assistant**

## I. INTRODUCTION

The goal of this program is the development of practical sources of coherent vacuum ultraviolet radiation. During this reporting period two primary projects have been active. The first is the production of coherent radiation at the Lyman  $\alpha$  wavelength of 1218 Å. Such radiation is potentially applicable to the diagnosis of plasmas, such as those studied under the nuclear fusion program. The second project has elucidated the factors which limit the efficiency of the process  $3547 \text{ Å} \rightarrow 1182 \text{ Å}$  in Xe, and suggests solutions. Details of the research are presented in the following sections.

ACCESSION NO.	
NTIS	White Section <input checked="" type="checkbox"/>
CDC	Buff Section <input type="checkbox"/>
UNANNOUNCED <input type="checkbox"/>	
NOTIFICATION	
Per Ltr. on File	
BY	
DISTRIBUTION/AVAILABILITY CODES	
Dist.	AVAIL. AND/OR SPECIAL
A	

D D C  
REF ID: A  
NOV 28 1977  
REGULAR D

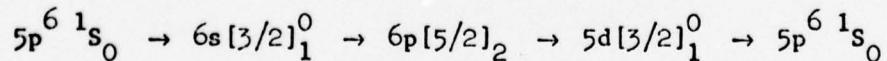
## II. GENERATION OF COHERENT RADIATION AT LYMAN $\alpha$ WAVELENGTH

(K. S. Hsu, J. F. Young, and S. E. Harris)

The goal of this program is the development of practical sources of coherent extreme ultraviolet and soft x-ray radiation. During the past six months we have worked on the generation of coherent, short pulse length, Lyman  $\alpha$  (1216.7 Å) radiation; such radiation is one of the major diagnostic tools for the thermal nuclear fusion program. The general method employed is optical harmonic generation in gaseous xenon. We have investigated two different approaches to reach this goal. The first is to combine two photons of 2660 Å and a single photon of 1.4085  $\mu\text{m}$  in Xe, taking advantage of resonance enhancement to create a large nonlinear coefficient. The second approach is direct third harmonic generation of 3650 Å in Xe. Our results are described in the following sections.

### A. Frequency Mixing Approach (2 $\times$ 2660 Å + 1.4085 $\mu\text{m}$ )

The object of this experiment is to enhance the efficient of a three-photon sum process in Xe. While a single photon of 2660 Å is about 30,000  $\text{cm}^{-1}$  below the  $6s[3/2]_1^0$  state, two photons of 2660 Å is only  $2,961 \text{ cm}^{-1}$  below the  $6p[5/2]_2$  level (a two-photon allowed transition state), and 1216.7 Å is only  $2,272 \text{ cm}^{-1}$  above the  $5d[1/2]_1^0$  state.<sup>1</sup> Thus the dominant perturbation path is:



The relevant energy level diagram is shown in Fig. 1. Though not all of the dipole matrix elements are available, estimation of the third-order nonlinear susceptibility is possible because this process has the same dominant path as tripling 3547 Å to generate 1182 Å in Xe. The two nonlinear susceptibilities differ only in their detunings. Our calculation shows that the  $\chi^{(3)}$  for this proposed process should be  $3.19 \times 10^{-34}$  esu, or 5.7 times larger than that for the process of tripling 3547 Å to generate 1182 Å.<sup>2</sup>

The index of refraction of Ar, Kr, and Xe at the Lyman  $\alpha$  line has been measured by Gill and Heddle using the Rayleigh scattering method, as<sup>3</sup>

$$n - 1 = 5.65 \times 10^{-4} \quad \text{for Ar}$$

$$n - 1 = -53.1 \times 10^{-4} \quad \text{for Kr}$$

$$n - 1 = -172.1 \times 10^{-4} \quad \text{for Xe}$$

Although the measurements of Kr and Xe were considered to be too high because the absorption band of  $Xe_2$  and  $Kr_2$  molecules are located in the vicinity of the resonance lines of Xe and Kr and thus distorted the measurement of the refractive indices, it was almost certain, according to Gill and Heddle, that the  $n - 1$  values for Kr and Xe were negative.<sup>3-6</sup> Based on the construction of the Sellmeier equation by the measured refractive indices for wavelengths longer than 1680 Å, Chashchina and Shreider predicted indices of refraction at the Lyman  $\alpha$  line as

$$n - 1 = 2.95 \times 10^{-5} \quad \text{for Kr}$$

$$n - 1 = 8.88 \times 10^{-4} \quad \text{for Xe}$$

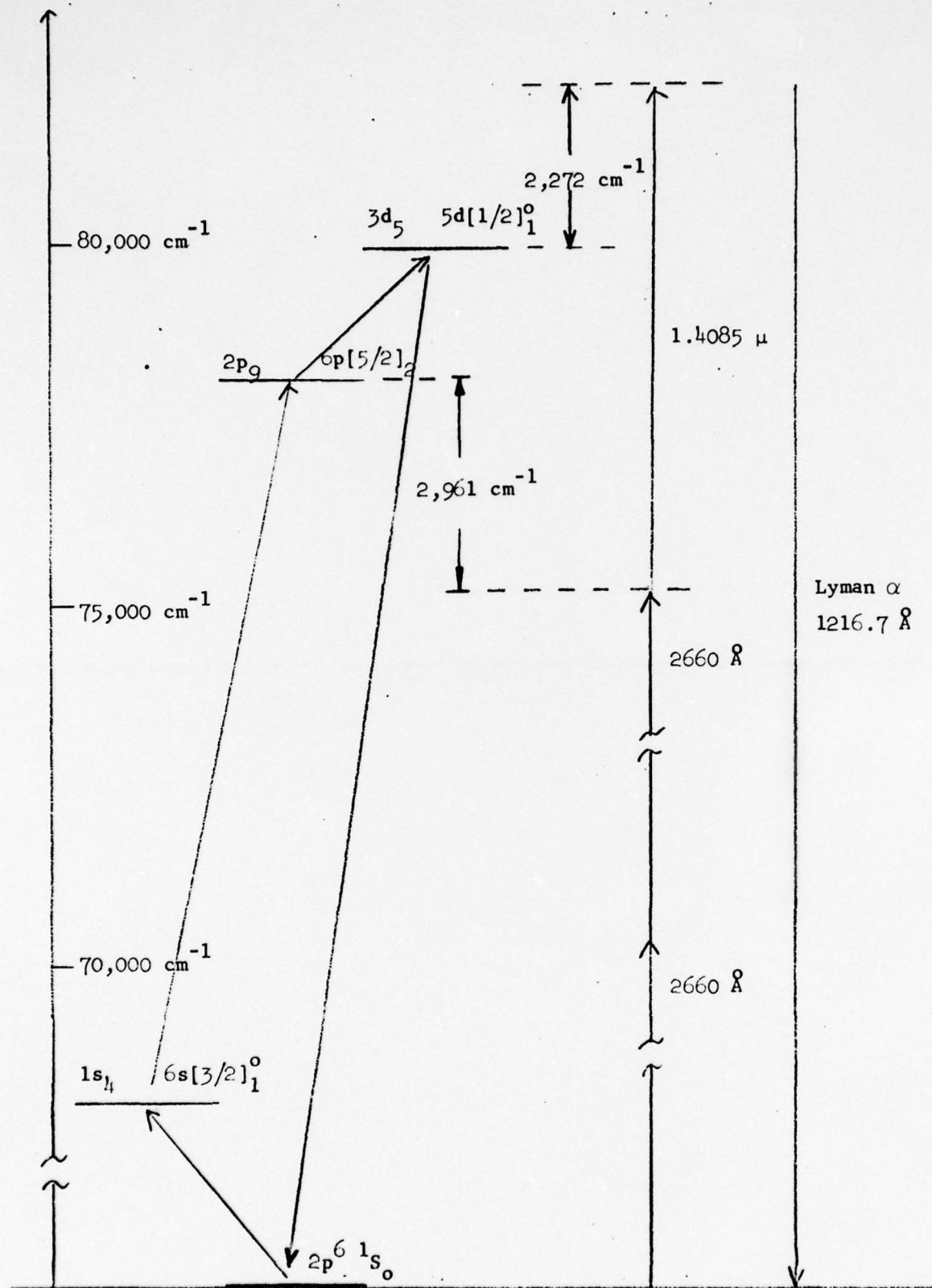


Fig. 1--Energy level diagram of XeI.

The indices of refraction of Xe at 2660 Å and 1.4085 μm have been precisely measured to within an 0.1% error as<sup>7</sup>

$$n - 1 = 7.99 \times 10^{-4} \quad \text{for } 2660 \text{ Å in Xe}$$

$$n - 1 = 6.78 \times 10^{-4} \quad \text{for } 1.4085 \text{ μm in Xe}$$

Using the data of Gill and Heddle,<sup>3</sup> the wave vector mismatch ( $\Delta k \equiv k_{\text{sum}} - k_1 - k_2 - k_3$ ) of the proposed process is  $-9.36 \times 10^3 \text{ cm}^{-1}$  for standard temperature and pressure conditions (i.e., 273°K and 1 atm), compared to  $-18.3 \text{ cm}^{-1}$  using the estimation of Chashchina and Shreider. Thus, by both measurements, the process should be negatively dispersive and therefore phasematchable using a positive dispersive gas.<sup>8,9</sup> The power conversion efficiency from 1.4085 μm to 1216.7 Å is given by

$$\frac{P(1216.7 \text{ Å})}{P(1.4 \text{ μm})} = 1.48 \times 10^{-54} N^2 \chi(3)^2 P_{(2660 \text{ Å})}^2 |I|^2 \text{ mks}$$

where  $N$  is the Xe number density and  $|I|^2$  is the focusing integral of Ward and New which has a maximum value of 5.3<sup>4</sup> at  $b\Delta k = -2$  for the third-order process under the tight focusing condition.<sup>10,11</sup> The power density of the input radiation is limited by the gas breakdown of Xe and was estimated as about  $5 \times 10^{12} \text{ W/cm}^2$ .<sup>12</sup> With 200 MW of 2660 Å radiation confocally focused to 6 cm, 1<sup>4</sup> torr of Xe will satisfy the optimized focusing condition. The power conversion efficiency from 1.4085 μm to 1216.7 Å can be more than 10%. For 1<sup>4</sup> torr of Xe, the number density of Xe<sub>2</sub> molecules is  $5.19 \times 10^{13}$ ; the absorption cross section of Xe<sub>2</sub> at the Lyman α line was measured to be  $1.87 \times 10^{-17} \text{ cm}^2$ .<sup>5</sup> This yields an absorption depth of about 10<sup>3</sup> cm; thus Xe<sub>2</sub> absorption should not be a problem.

The experimental set-up is shown in Fig. 2. We have tried direct single-pass pumping of a  $\text{LiNbO}_3$  parametric generator followed by a  $\text{LiNbO}_3$  parametric amplifier to generate  $1.4085 \mu\text{m}$ . We found that this scheme is highly unstable and unable to provide a good spatial mode because of the extremely high gain of the parametric crystal. We are investigating the possibility of using a synchronously matched cavity scheme pumped by a passively mode-locked Nd:YAG laser pulse train, similar to the matched cavity pumped dye laser devised by Goldberg and Moore.<sup>13</sup>

In summary, this frequency mixing approach takes advantage of resonant enhancement of the third-order nonlinearity and the availability of high power  $2660 \text{ \AA}$  radiation. The major difficulties are the generation of  $1.4085 \mu\text{m}$  and the attainment of good beam overlap, both spatially and temporally. Further development of the  $1.4085 \mu\text{m}$  source is currently underway.

#### B. Direct Tripling Approach ( $3 \times 3650 \text{ \AA}$ )

The object of this experiment is to generate  $1216.7 \text{ \AA}$  by directly tripling  $3650 \text{ \AA}$  in Xe to avoid the experimental difficulty of beam overlap encountered in the frequency mixing approach described in Section A. Calculations show that the nonlinear susceptibility and medium dispersion are quite comparable to those of the  $3547 \text{ \AA}$  direct tripling process. Thus  $50 \mu\text{J}$  of  $3650 \text{ \AA}$  in  $20 \text{ ps}$  will be sufficient to generate a detectable  $1216.7 \text{ \AA}$  signal. We plan to use the matched cavity scheme devised by Goldberg and Moore<sup>13</sup> to generate  $3650 \text{ \AA}$  by pumping BDBP dye with a pulse train of  $3547 \text{ \AA}$ ; the experimental set-up is shown in Fig. 3. Construction of the dye laser is currently underway.

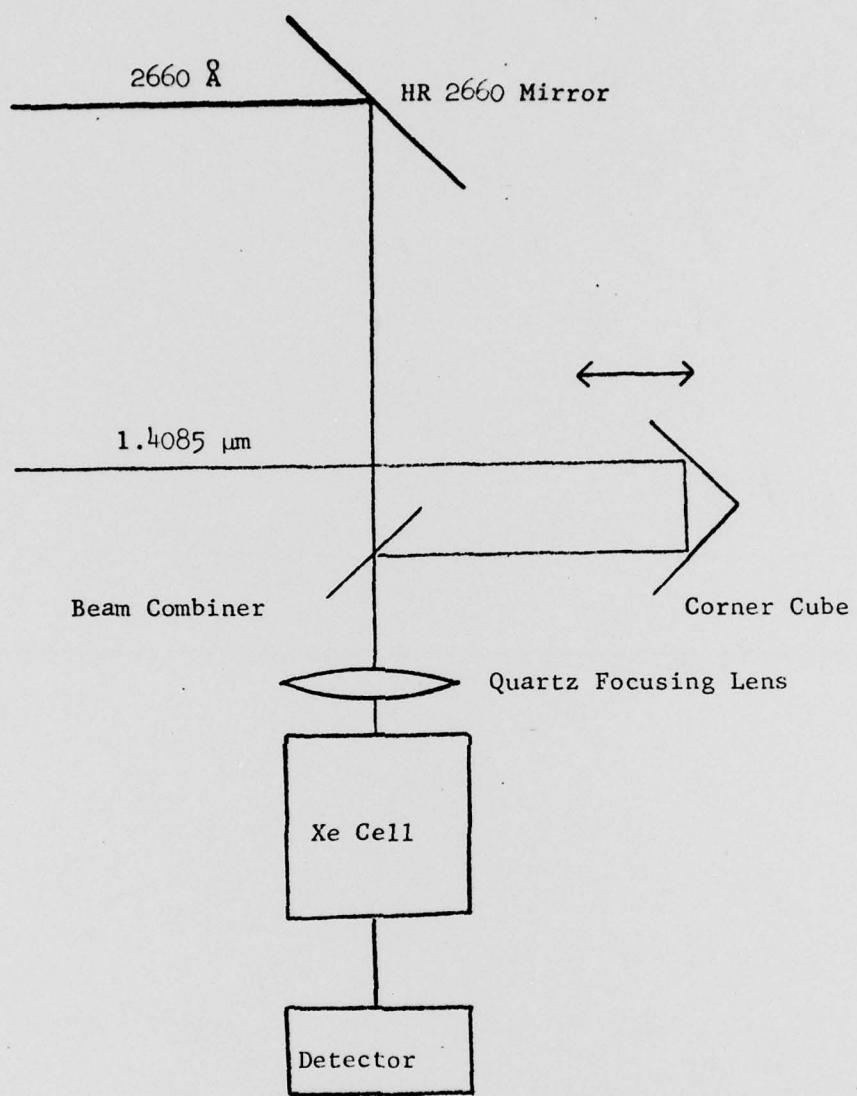


Fig. 2--Experimental set-up for the mixing frequency approach.

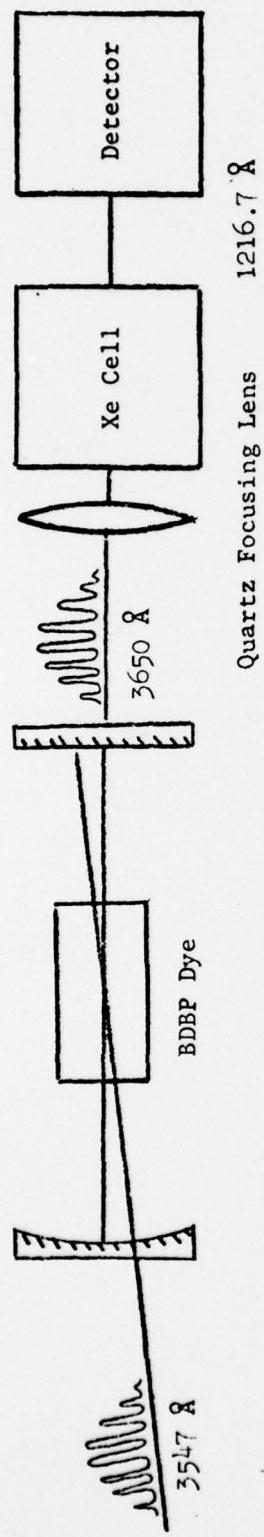


Fig. 3--Experimental set-up for the direct tripling approach.

### References

1. C. E. Moore, Atomic Energy Levels, Vol. III, NSRDS-NBS-35 (Washington, D.C.: U.S. GPO, 1971).
2. A. H. Kung, J. F. Young, and S. E. Harris, "Erratum: Generation of 1182 Å Radiation in Phase-Matched Mixtures of Inert Gases," Appl. Phys. Lett. 28, 239 (1976).
3. P. Gill and D. W. O. Heddle, "Determination of the Refractive Indices of Gases in the Vacuum Ultraviolet. II. The Rayleigh Scattering Method," J. Opt. Soc. Am. 53, 847 (1963).
4. G. I. Chashchina and E. Ya Shreider, "Determination of Xenon and Krypton Refractive Indices in the Vacuum Region of the Spectrum," Opt. Spektrosk. 27, 161 (1969).
5. Sharanand, "Experimental Evidence for  $\text{Xe}_2$  Molecules," Phys. Rev. 160, 67 (1967).
6. A. E. Kingstom, "Refractive Indices and Verdet Constants of Inert Gases at Ultraviolet Wavelengths," J. Opt. Soc. Am. 54, 1145 (1964).
7. D. W. O. Heddle, R. E. Jennings, and A. S. L. Parsons, "Determination of the Refractive Indices of Gases in the Vacuum Ultraviolet. I. The Cerenkov Radiation Method," J. Opt. Soc. Am. 53, 840 (1963).
8. R. B. Miles and S. E. Harris, "Optical Third-Harmonic Generation in Alkali Metal Vapors," IEEE J. Quant. Elect. QE-9, 470 (1973).
9. A. H. Kung, J. F. Young, and S. E. Harris, "Generation of 1182 Å Radiation in Phase-Matched Mixtures of Inert Gases," Appl. Phys. Lett. 22, 301 (1973).
10. J. F. Ward and G. H. C. New, "Optical Third Harmonic Generation in Gases by a Focused Laser Beam," Phys. Rev. 185, 57 (1969).

11. G. C. Bjorklund, "Effects of Focusing on the Third Order Nonlinear Processes in Isotropic Media," *IEEE J. Quant. Elect.* QE-11, 287 (1975).
12. H. E. Bebb and A. Gold, "Multiphoton Ionization of Hydrogen and Rare Gas Atoms," *Phys. Rev. Lett.* 143, 1 (1966).
13. L. S. Goldberg and C. A. Moore, "Synchronous Mode-Locked Dye Lasers for Picosecond Spectroscopy and Nonlinear Mixing," in Laser Spectroscopy, S. Haroche, J. C. Pebay-Peyroula, F. Hansch, and S. E. Harris, eds. (New York: Springer-Verlag, 1975).

### III. LIMITATION OF 1182 Å GENERATION EFFICIENCY IN Xe

(L. J. Zych, J. F. Young, and S. E. Harris)

The recent demonstration of large gains in discharge-pumped XeF for single 30 ps 3547 Å pulses<sup>1</sup> indicates that very high power, high energy sources of 3547 Å radiation should be practical. This fact has motivated us to explore the factors which limit the ultimate efficiency of the tripling process 3547 Å → 1182 Å in Xe phasematched with Ar, as first demonstrated by Kung, Young, and Harris.<sup>2</sup>

The nonlinear susceptibility measured in Ref. 2 indicated that for reasonable experimental parameters, conversion efficiencies of several percent should be feasible. Clearly, such efficiencies coupled with amplified high power 3547 Å pulses could lead to a powerful source of 1182 Å radiation for microlithography, holographic microscopy,<sup>3</sup> and for the generation of extremely short wavelengths using additional nonlinear processes.<sup>4</sup> However, despite the work of Ref. 2 and subsequent experiments in our laboratory, observed efficiencies have reached only about 0.1%. The cause of the low efficiencies has been attributed to loss, poor gas mixing, and bad laser mode quality. During the past six months we have completed a series of measurements which indicate that the observed efficiencies are in fact limited by the quadratic Kerr effect. This is a third-order process in which large power densities at 3547 Å can produce changes in the index of refraction at both 3547 Å and 1182 Å, thus effecting phasematching. Careful absorption

measurements indicated that neither Xe,  $Xe_2$ , nor normal impurity absorption is present under practical cell lengths and pressures. In addition, a pre-mixing method was used to insure gas mix homogeneity.

The THG efficiency can be expressed as<sup>5</sup>

$$\mathcal{E} \propto N^2 X^2 P^2 \cdot F_1(b\Delta k) \quad (1)$$

where  $P$  is the power at  $3547 \text{ \AA}$  and  $F_1(b\Delta k)$  depends on the focusing and medium dispersion. The  $k$  vector mismatch in the presence of the Kerr effect is

$$\begin{aligned} \Delta k &= k(3\omega) - 3k(\omega) = \frac{6\pi}{\lambda_1} [n(3\omega) - n(\omega)] \\ &= \frac{6\pi}{\lambda} [\Delta n_0 + \Delta n_k] \cdot N \end{aligned} \quad (2)$$

where  $\Delta n_0$  is the zero-field index mismatch per atom, and  $\Delta n_k$  is the per atom index mismatch due to the Kerr effect:

$$\begin{aligned} \Delta n_k &= 1.1 \times 10^{13} [x_k(3\omega) - x_k(\omega)] (P/A) \text{ mks} \\ &= 0.013 [x_k(3\omega) - x_k(\omega)] (P/A) \text{ esu} \\ &\equiv \beta \cdot P/A \end{aligned} \quad (3)$$

Note that the value of  $\Delta n_0$  can be adjusted by varying the Xe:Ar ratio.

In our experiments the conversion efficiency was measured at various values of power density as  $N$  was varied. As shown in Ref. 5, the efficiency

has a maximum at a value of  $b\Delta k = -4$ , which corresponds to a particular  $N$ . We can use Eq. (2) in Eq. (1) to find these peak (phasematched) efficiencies

$$\mathcal{E}_p = 6.9 \times 10^{-4} \cdot x^2 \cdot \frac{(P/A)^2}{(\Delta n_0 + \beta P/A)^2} \quad (\text{esu}) \quad (4)$$

when the number density is adjusted so that

$$(b\Delta k) = -4 \quad \text{or}$$

$$(\Delta n_0 + \beta P/A) = -4\lambda_1/6\pi bN \quad (5)$$

Figure 1 shows measured values of  $\mathcal{E}_p$  as a function of  $P/A$  for pure Xe, while Fig. 2 shows the pressure of Xe at which  $\mathcal{E}_p$  occurred. The focusing conditions were held constant: a confocal parameter of  $b = 1$  cm located in the center of a 50 cm cell. For low values of  $P/A$  the optimum Xe pressure is constant, and from Fig. 2 and Eq. (5)

$$\Delta n_0 = -3.3 \times 10^{-23} \text{ cm}^3 \quad \text{or}$$

$$NL_c = 1.8 \times 10^{17} \text{ cm}^{-2} \quad (6)$$

Using Eq. (4) we find

$$x = 2.3 \times 10^{-35} \text{ esu} \quad (7)$$

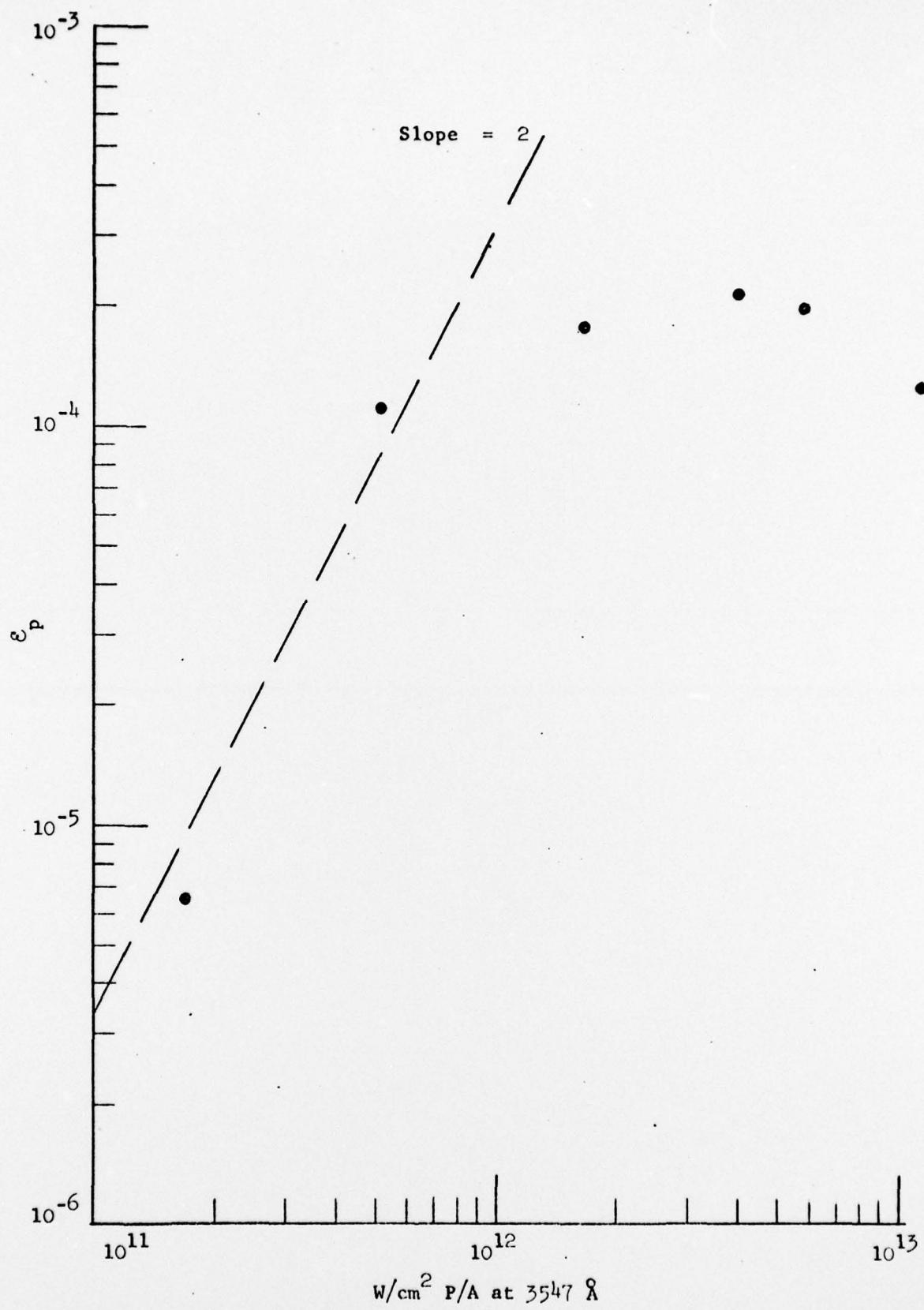


Fig. 1--Measured peak conversion efficiency for  $3547 \text{ \AA} \rightarrow 1182 \text{ \AA}$   
vs. P/A in pure Xe.

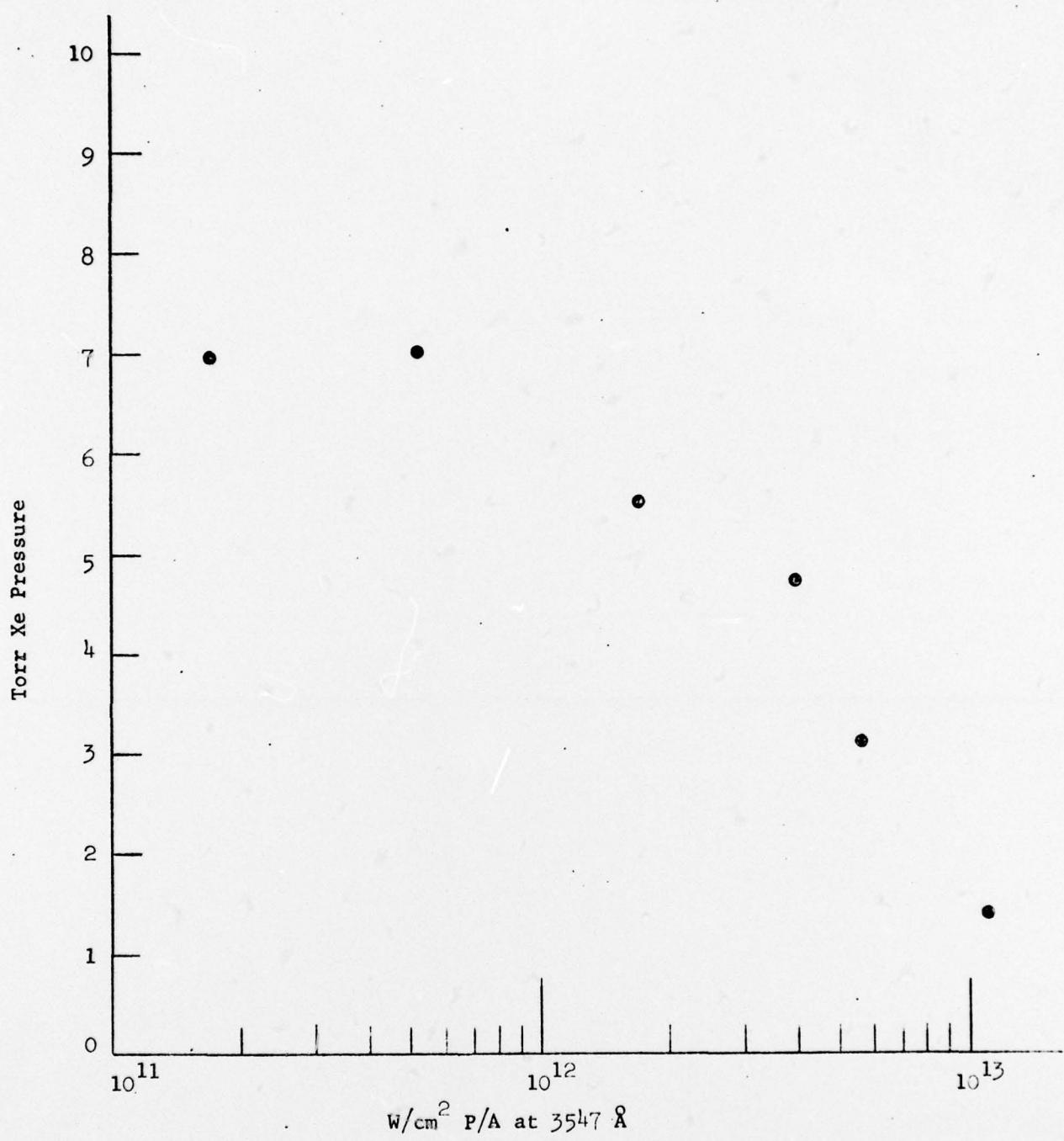


Fig. 2--Pressure of pure Xe for maximum conversion efficiency as a function of P/A .

At higher  $P/A$ , lower Xe pressures are required to optimize  $\mathcal{E}$ ; this, along with Eq. (6), implies that  $\beta < 0$ . In Fig. 2 the optimum Xe pressure drops from 7 torr at low  $P/A$  to 3.5 torr at  $P/A = 5.2 \times 10^{12} \text{ W/cm}^2$ , and this implies

$$[x_k(3\omega) - x_k(\omega)] = -4.9 \times 10^{-34} \text{ esu} \quad (8)$$

For large incident power densities such that

$$|\beta P/A| \gg |\Delta n_0| \quad (9)$$

the Kerr effect will dominate the dispersion of the medium and the efficiency will be limited to a maximum value of

$$\mathcal{E}_{\max} = 4.1 \left[ \frac{x}{x_k(3\omega) - x_k(\omega)} \right]^2 \quad (10)$$

This limit is independent of all other experimental parameters, including the Xe:Ar ratio ( $\Delta n_0$ ), so long as Eq. (9) is satisfied. For operation well into the Kerr regime however,  $\mathcal{E}_{\max}$  can only be achieved using an input pulse of constant  $P/A$ . Although this analysis has been done for the tight focusing case, Eq. (10) is also valid for the plane wave case. From Eqs. (7), (8), and (10) we estimate that for Xe

$$\mathcal{E}_{\max} = 9 \times 10^{-3} \quad (11)$$

This indicates that the limiting efficiency of  $2 \times 10^{-4}$  in Fig. 1 is not a Kerr limitation, but rather due to some other effect which becomes

important at large  $P/A$ , such as multiphoton absorption or breakdown. To circumvent this problem we shifted the Kerr dominated region to lower  $P/A$  by reducing  $\Delta n_0$  using a 1:11 Xe:Ar mixture. The gases were measured into a cylinder and allowed to mix thoroughly for a day or more, to insure homogeneity of the test gas. With this mixture efficiencies as high as  $2 \times 10^{-3}$  were observed, again limiting at about  $5 \times 10^{12} \text{ W/cm}^2$ . Further reduction of  $\Delta n_0$  should result in even closer agreement with Eq. (11).

Theoretical estimates of the Kerr susceptibilities in Xe indicate that the dispersion is probably dominated by the  $\chi_k(3\omega)$  term, specifically  $\chi(3\omega, \omega, -\omega)$ . It appears that a major contribution to the susceptibility results from the interaction of the 5d Xe level and the autoionization states.

These results indicate that the ultimate conversion efficiency in the Xe:Ar system is limited to less than 1% by the Kerr effect. It may be possible to overcome this limitation by adding an additional atomic species with a compensating Kerr susceptibility; however, the species used must not interfere radically with  $\Delta n_0$  or  $\chi$ . Mg appears to be a good candidate. It is negatively dispersive, has a substantial  $\chi$  for  $3547 \text{ \AA} \rightarrow 1182 \text{ \AA}$  generation,<sup>6</sup> and we estimate a  $\chi_k(\omega) \approx -4.6 \times 10^{-33} \text{ esu}$ . Thus a ratio of 9.4:1 of Mg:Xe should eliminate the Kerr effect; clearly, complete, uniform cancellation in Eq. (10) is not required to achieve significant efficiencies.

References

1. I. V. Tomov, R. Fedosejevs, M. C. Richardson, W. J. Sargeant, A. J. Alcock, and K. E. Leopold, "Picosecond XeF Amplified Laser Pulses," *Appl. Phys. Lett.* 30, 146 (February 1977).
2. A. H. Kung, J. F. Young, and S. E. Harris, "Generation of 1182 Å Radiation in Phase Matched Mixtures of Inert Gases," *Appl. Phys. Lett.* 22, 301 (March 1973), and *Appl. Phys. Lett.* 28(E), 239 (February 1976).
3. G. C. Bjorklund, S. E. Harris, and J. F. Young, "Vacuum Ultraviolet Holography," *Appl. Phys. Lett.* 25, 451 (October 1974).
4. J. Reintjes, R. C. Eckardt, C. Y. She, W. E. Karangelen, R. C. Elton, and R. A. Andrews, "Generation of Coherent Radiation at 53.2 nm by Fifth-Harmonic Conversion," *Phys. Rev. Lett.* 37, 1540 (December 1976).
5. G. C. Bjorklund, "Effects of Focusing on Third-Order Nonlinear Processes in Isotropic Media," *IEEE J. Quant. Elect.* QE-11, 287 (June 1975).
6. G. C. Bjorklund, private communication.

#### IV. FUTURE EFFORT

Future effort on the Lyman  $\alpha$  generation project was presented in Section II. Experimental work on the generation of 1182 Å radiation has been suspended pending further calculations, and in order to commence work on a new project: development of a high brightness, high resolution, pico-second time scale VUV light source based on spontaneous anti-Stokes scattering.

## V. PUBLICATIONS

1. K. S. Hsu, A. H. Kung, L. J. Zych, J. F. Young, and S. E. Harris, "1202.8 Å Generation in Hg Using a Parametrically Amplified Dye Laser," *IEEE J. Quant. Elect.* QE-12, 60 (January 1976).
2. D. B. Lidow, R. W. Falcone, J. F. Young, and S. E. Harris, "Inelastic Collision Induced by Intense Optical Radiation," *Phys. Rev. Lett.* 36, 462 (March 1976). [Erratum: *Phys. Rev. Lett.* 37, 1590 (December 1976)].
3. S. E. Harris, D. B. Lidow, R. W. Falcone, and J. F. Young, "Laser Induced Collisions," in Tunable Lasers and Applications, A. Mooradian, T. Jaeger, and P. Stokseth, eds. (New York: Springer-Verlag, 1976).
4. S. E. Harris, "Spontaneous Anti-Stokes Scattering as a High Resolution and Picosecond Time Scale VUV Light Source," *Appl. Phys. Lett.* (submitted for publication).